

CV-27-21

JAN 26 APR 2001

U.S. Application No.
UnknownInternational Application No.
PCT/US98/13071Attorney Docket No.
STERN1.001APC

Date: April 26, 2001

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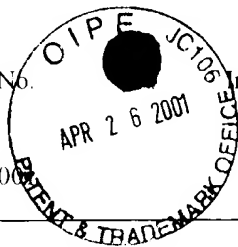
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371

International Application No.: PCT/EP00/08071
 International Filing Date: August 18, 2000
 Priority Date Claimed: August 30, 1999
 Title of Invention: TRANSGENIC ANIMAL MODEL FOR NEURODEGENERATIVE DISEASES
 Applicant(s) for DO/EO/US: Lübbert, Hermann

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. (X) This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. () This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. (X) This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
4. (X) A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. (X) A copy of the International Application as filed (35 USC 371(c)(2))
 - a) (X) is transmitted herewith (required only if not transmitted by the International Bureau).
 - b) () has been transmitted by the International Bureau.
 - c) () is not required, as the application was filed in the United States Receiving Office (RO/US).
6. () A translation of the International Application into English (35 USC 371(c)(2)).
7. (X) Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
 - a) () are transmitted herewith (required only if not transmitted by the International Bureau).
 - b) () have been transmitted by the International Bureau.
 - c) () have not been made; however, the time limit for making such amendments has NOT expired.
 - d) (X) have not been made and will not be made.
8. () A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. (X) An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
10. () A copy of the International Preliminary Examination Report with any annexes thereto, such as any amendments made under PCT Article 34.
11. () A translation of the annexes, such as any amendments made under PCT Article 34, to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

MAIL DATE



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12. (X) An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. (X) An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. (X) A FIRST preliminary amendment.
() A SECOND or SUBSEQUENT preliminary amendment.
15. () International Application as published.
16. (X) This applicant qualifies for small entity status.
17. () PCT Form PCT/IPEA/402.
18. () PCT Form PCT/IB/308.
19. (X) PCT request form.
20. (X) Other Items or information:
1. International Search Report
2. Notification of the International Application Number and of the International Filing Date
21. (X) A return prepaid postcard.
22. (X) The following fees are submitted:

				FEES
BASIC FEE				\$860
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	24 - 20 =	4 *	\$18	\$72
Independent Claims	2 - 3 =	0 *	\$80	\$0
Multiple dependent claims(s) (if applicable)			\$270	\$0
TOTAL OF ABOVE CALCULATIONS \$				932
Reduction by 1/2 for filing by small entity (if applicable). Verified Small Entity statement must also be filed. (NOTE 37 CFR 1.9, 1.27, 1.28) \$				-466
TOTAL NATIONAL FEE				\$466
TOTAL FEES ENCLOSED				\$466
amount to be refunded:				\$
amount to be charged:				\$

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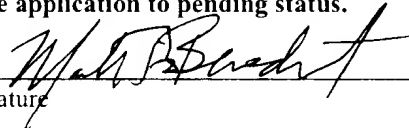
Date: April 26, 2001

23. (X) The fee for submission of the translation of the international application or any annexes to the IPER set forth in 37 CFR 1.492(f) will be paid upon submission of those items.
24. () The fee for later submission of the signed oath or declaration set forth in 37 CFR 1.492(e) will be paid upon submission of the declaration.
25. (X) A check in the amount of \$466 to cover the above fees is enclosed.
26. (X) A check in the amount of \$40 to cover the fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property.
27. (X) The Commissioner is hereby authorized to charge only those additional fees which may be required, now or in the future, to avoid abandonment of the application, or credit any overpayment to Deposit Account No. 11-1410. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

KNOBBE, MARTENS, OLSON & BEAR, LLP
620 Newport Center Drive
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Newport Beach, CA 92660


Signature

Mark R. Benedict
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09/830703

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26 APR 2001

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Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No. : STERN1.001APC

Applicant(s) : Lubbert

For : TRANSGENIC ANIMAL MODEL FOR
NEURODEGENERATIVE DISEASES

Attorney : Mark R. Benedict

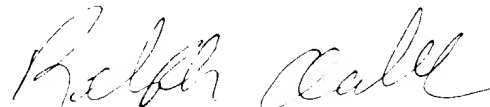
"Express Mail"
Mailing Label No. : EL561649858US

Date of Deposit : April 26, 2001

I hereby certify that the accompanying

Copy of International Application in 41 pages (including 4 pages of drawings); sequence listing in 39 pages; Preliminary Amendment in 14 pages; **signed** Declaration and Power of Attorney in 2 pages; International Search Report; Notification of the International Application Number and of the International Filing Date; Copy of PCT Request Form; Information Disclosure Statement, PTO Form 1449 with 12 references; Assignment; Recordation Form Cover Sheet in 1 page; Power of Attorney by Assignee in 1 page; Check(s) for Filing Fee(s); Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Ralph Auble

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